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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/964,232	09/26/2001	Timothy E. Grib	HO-P02393US0	1841
22206	7590	04/06/2006	EXAMINER	
FELLERS SNIDER BLANKENSHIP BAILEY & TIPPENS THE KENNEDY BUILDING 321 SOUTH BOSTON SUITE 800 TULSA, OK 74103-3318			SHIN, KYUNG H	
			ART UNIT	PAPER NUMBER
			2143	

DATE MAILED: 04/06/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/964,232

Applicant(s)

GRIB, TIMOTHY ET AL

Examiner

Kyung H. Shin

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 23 December 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-29 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-29 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                                   | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)               | Paper No(s)/Mail Date. _____  |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date <u>12/23/05</u>  | 6) <input type="checkbox"/> Other: _____                                    |

## DETAILED ACTION

### *Response to Amendment*

1. This action is responding to application RCE filed 12/23/2005.
2. Claims **1 - 29** are pending. Claims **1, 15, 16, 21** have been amended. Independent claims are **1, 16, 21**.

### *Response to Arguments*

3. Applicant's arguments filed 12/23/2005 have been fully considered but they are not persuasive.

- 3.1 Applicant argues that the referenced prior art does not disclose the capability to "*... perform a first and second performance test simultaneously or substantially simultaneously ...*" (see Remarks Page 9, Lines 1-2)

The examiner has considered the applicant's remarks concerning the definitions of the terms, substantially and simultaneously. The term substantially is defined as "to a large extent", simultaneously is defined as "done at the same time", and the term substantially simultaneously is defined as "to a large extent done at the same time", or the performance tests are performed essentially at the same time. This indicates that the performance tests are not completed under a scheduled time frame.

After an additional analysis of the applicant's invention, remarks, and a search of the available prior art, it was determined that the current set of prior

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art consisting of Mayton (6,763,380), Silva (6,360,268), and Zhuo (20030036865) discloses the applicant's invention including disclosures in Remarks dated December 23, 2005.

The Mayton (6,763,380) prior art discloses the capability to perform tests on a scheduled (see Mayton col. 3, lines 22-24), and on a non-scheduled basis (see Mayton col. 13, lines 30-32). Also, Mayton discloses the capability for both passive testing, active testing, and user initiated performance tests. (see Mayton col. 3, lines 24-25; col. 13, lines 30-32) Claims 3, 4, 6 - 14, 19, 20, 23, 24, 27, 29, 29 of the applicant's invention are based on a scheduling capability for the completion of performance tests. Therefore, the applicant's invention discloses the capability to complete performance tests simultaneously (i.e. on a non-schedule basis or performed using an extremely short time period or at user initiation), and to complete performance tests based upon a schedule. These particular functions are analogous between the referenced prior art and the applicant's invention.

The examiner must again state that performance tests completed utilizing an extremely short time period are considered to be simultaneous, and that the tests are performed essentially simultaneously (i.e. substantially simultaneously). In addition, performance tests completed utilizing user initiation can be performed essentially simultaneously, since initiation can be performed substantially simultaneously.

3.2 Applicant argues that the referenced prior art does not disclose *that the Mayton and Silva combination is traversed (see Remarks Page 9, Lines 13-14), and that the Mayton and Zhuo combination is traversed (see Remarks Page 10, Lines 12-13)* due to rejection of Mayton prior art.

The Silva (6,360,268) and Zhuo (20030036865) prior art were combined with Mayton for specific feature combinations under U.S.C. 35 103. The Mayton and Silva prior art combination discloses an authorization capability for the completion of performance tests. The Mayton and Zhuo prior art combination discloses a capability to process time conflicts within a performance test scheduling system.

***Claim Rejection - 35 USC § 102***

**4. Claims 1 - 9, 13, 15 - 29** are rejected under 35 U.S.C. 102(e) as being anticipated by **Mayton et al.** (US Patent No. 6,763,380).

**Regarding Claim 1**, Mayton discloses a method performed by one or more components in a network comprising a plurality of paths between a first device and a second device, the method comprising:

- a) conducting a first performance test of a first type (see Mayton col. 4, lines 26-28; col. 14, lines 7-11: communication types (i.e. a first type)) over a first path of the plurality of paths between the first and second devices; (see Mayton col. 3, line

66 - col. 4, line 5; col. 3, lines 48-54: network performance measurement test (i.e. a first test) for a route (i.e. a first path) from a plurality of routes (i.e. paths) between two network devices (i.e. a first and a second device)) and (see Mayton col. 3, lines 28-29: complete performance test for each connection at same time (i.e. simultaneously) ; col. 13, lines 30-32: user initiated tests, non-scheduled test, substantially simultaneously)

b) conducting a second performance test of the first type (see Mayton col. 4, lines 26-28; col. 14, lines 7-11: communication types (i.e. a first type)) over a second path of the plurality of paths between the first and second devices; (see Mayton col. 4, lines 5-9; col. 3, line 66 - col. 4, line 5; col. 3, lines 48-54: network performance test for a second route (i.e. a second path) between two network devices (i.e. a first and a second device)) and (see Mayton col. 3, lines 28-29: complete performance test for each connection at same time (i.e. simultaneously) ; col. 13, lines 30-32: user initiated tests, non-scheduled test, substantially simultaneously)

c) wherein the first and the second performance tests are performed simultaneously or substantially simultaneously. (see Mayton col. 3, lines 22-24: performance measurement tests completed on a scheduled basis (i.e. tests scheduled within close time proximity)) and (see Mayton col. 3, lines 28-29: complete performance test for each connection at same time (i.e. simultaneously) ; col. 13, lines 30-32: user initiated tests, non-scheduled test, substantially simultaneously)

**Regarding Claims 2, 17,** Mayton discloses the method of claims 1, 16, wherein the first performance test produces a first set of results;

- a) wherein the second performance test produces a second set of results; (see Mayton col. 3, lines 25-32: results are generated for multiple (first and second network devices) over multiple routings (paths)) and
- b) further comprising presenting a service level performance comparison based on the first and second sets of results. (see Mayton col. 3, lines 40-46: performance factors (service level) such as poor performance from latency or communication outages)

**Regarding Claim 3,** Mayton discloses the method of claim 2, wherein the first performance test includes a plurality of first individual performance tests performed over an extended time duration; and the second performance test includes a plurality of second individual performance tests performed over the extended time duration. (see Mayton col. 3, lines 22-32; col. 3, lines 58-65: perform periodic repeated tests over a multitude of routings (paths) between two network devices over an extended time period)

**Regarding Claim 4,** Mayton discloses the method of claim 3, wherein each of the pluralities of first and second individual performance tests are performed at roughly periodic intervals over the extended time duration. (see Mayton col. 3, lines 25-32: tests are performed at periodic intervals)

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**Regarding Claims 5, 18,** Mayton discloses the method of claims 1, 16, wherein the first path transverses a first access network, a first transport network, and a second access network; and the second path transverses the first access network, a second transport network, and the second access network. (see Mayton col. 8, lines 57-63: multiple transport protocols (TCP and RTP) utilized in generating performance test data)

**Regarding Claims 6, 19, 24, 27,** Mayton discloses the method of claims 1, 16, 23, further comprising receiving a scheduling request representing the first and second performance tests. (see Mayton col. 3, lines 16-22: perform tests based on a schedule)

**Regarding Claim 7,** Mayton discloses the method of claim 6, wherein the scheduling request is received by a scheduling system; and the scheduling system communicates a first indication of the request to the first device. (see Mayton col. 11, lines 34-40: test scheduler communicates schedule information to endpoint nodes (first and second network devices))

**Regarding Claim 8,** Mayton discloses the method of claim 7, wherein the scheduling system further communicated a second indication of the request to the second device. (see Mayton col. 11, lines 34-40: test scheduler communicates schedule information to endpoint nodes (first and second network devices))

**Regarding Claims 9, 20,** Mayton discloses the method of claims 6, 19, further



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comprising scheduling the first and second performance tests based on the scheduling request and a random time component. (see Mayton col. 14, lines 49-52; col. 8, lines 52-57: tests are performed at random based on exception events)

**Regarding Claim 13**, Mayton discloses the method of claim 6, further comprising determining whether a number of scheduled tests exceeds a first threshold number for the first device or exceeds a second threshold number for the second device. (see Mayton col. 6, line 66 - col. 7, line 3: threshold values are utilized)

**Regarding Claim 15**, Mayton discloses a computer readable medium containing computer executable instructions for performing a method by steps comprises: (see Mayton col. 5, lines 6-18: performance test system can be implemented as computer program product)

a) conducting a first performance test of a first type over a first path of a plurality of paths between a first and second devices; (see Mayton col. 3, line 66 - col. 4, line 5; col. 3, lines 48-54: network performance measurement test (i.e. a first test) for a route (i.e. a first path) from a plurality of routes (i.e. paths) between two network devices (i.e. a first and a second device)) and (see Mayton col. 3, lines 28-29: complete performance test for each connection at same time (i.e. simultaneously) ; col. 13, lines 30-32: user initiated tests, non-scheduled test, substantially simultaneously)

- b) conducting a second performance test of the first type over a second path of the plurality of paths between the first and second devices; (see Mayton col. 3, line 66 - col. 4, line 5; col. 3, lines 48-54: network performance measurement test (i.e. a first test) for a route (i.e. a first path) from a plurality of routes (i.e. paths) between two network devices (i.e. a first and a second device)) and (see Mayton col. 3, lines 28-29: complete performance test for each connection at same time (i.e. simultaneously) ; col. 13, lines 30-32: user initiated tests, non-scheduled test, substantially simultaneously)
- c) wherein the first and the second performance tests are performed simultaneously or substantially simultaneously. (see Mayton col. 3, line 66 - col. 4, line 5; col. 3, lines 48-54: network performance measurement test (i.e. a first test) for a route (i.e. a first path) from a plurality of routes (i.e. paths) between two network devices (i.e. a first and a second device)) and (see Mayton col. 3, lines 28-29: complete performance test for each connection at same time (i.e. simultaneously) ; col. 13, lines 30-32: user initiated tests, non-scheduled test, substantially simultaneously)

**Regarding Claims 16,** Mayton discloses a network comprising:

- a) a plurality of paths between a first device and a second device; (see Mayton col. 3, lines 25-27: multiple paths)
- b) means for conducting a first performance test of a first type (see Mayton col. 4, lines 26-28; col. 14, lines 7-11: communication types (i.e. a first type)) over a first path of the plurality of paths between the first and second devices; (see Mayton

col. 3, line 66 - col. 4, line 5; col. 3, lines 48-54: network performance measurement test (i.e. a first test) for a route (i.e. a first path) from a plurality of routes (i.e. paths) between two network devices (i.e. a first and a second device)) and (see Mayton col. 3, lines 28-29: complete performance test for each connection at same time (i.e. simultaneously) ; col. 13, lines 30-32: user initiated tests, non-scheduled test, substantially simultaneously)

c) means for conducting a second performance test of the first type (see Mayton col. 4, lines 26-28; col. 14, lines 7-11: communication types (i.e. a first type)) over a second path of the plurality of paths between the first and second devices; (see Mayton col. 4, lines 5-9; col. 3, line 66 - col. 4, line 5; col. 3, lines 48-54: network performance test for a second route (i.e. a second path) between two network devices (i.e. a first and a second device))and (see Mayton col. 3, lines 28-29: complete performance test for each connection at same time (i.e. simultaneously) ; col. 13, lines 30-32: user initiated tests, non-scheduled test, substantially simultaneously)

d) wherein the first and the second performance tests are performed simultaneously or substantially simultaneously. (see Mayton col. 3, lines 22-24: performance measurement tests completed on a scheduled basis (i.e. tests scheduled within close time proximity))and (see Mayton col. 3, lines 28-29: complete performance test for each connection at same time (i.e. simultaneously) ; col. 13, lines 30-32: user initiated tests, non-scheduled test, substantially simultaneously)

**Regarding Claim 21**, Mayton discloses a network comprising:

- a) a first device coupled to a first access network; the first access network coupled to a first and a second transport networks; (see col. 9, lines 38-41; col. 8, lines 62-63: one or more transport protocols (i.e. TCP, UDP, RTP) utilized for network communications)
- b) a second access network coupled to the first and the second transport networks; (see col. 9, lines 38-41; col. 8, lines 62-63: one or more transport protocols (i.e. TCP, UDP, RTP) utilized for network communications) and
- c) a second device coupled to the second access network; wherein a performance test is conducted between the first device and the second device over each of the first and second transport networks simultaneously or substantially simultaneously. (see col. 3, line 66 - col. 4, line 5; col. 3, lines 48-54: network performance measurements for one or more paths (i.e. communications utilizing one or more transport paths) between two network devices)and (see Mayton col. 3, lines 28-29: complete performance test for each connection at same time (i.e. simultaneously) ; col. 13, lines 30-32: user initiated tests, non-scheduled test, substantially simultaneously)

**Regarding Claim 22**, Mayton discloses the network of claim 21, wherein the first device is coupled to a first router, wherein the first router selectively routes performance testing packets received from the first device over a first path to the first transport network and a second path to the second transport network. (see col. 8, lines 57-63: communications implemented utilizing multiple transport protocols (TCP and RTP))

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**Regarding Claim 23**, Mayton discloses the network of claim 21, further comprising a performance test scheduler. (see col. 11, lines 34-40: test scheduler coordinates performance testing)

**Regarding Claim 25**, Mayton discloses the network of claim 24, further comprising a results collector for receiving a set of results associated with the performance test. (see col. 6, lines 6-12; col. 6, lines 21-24: performance data stored)

**Regarding Claim 26**, Mayton discloses the network of claim 25, wherein the results collector transmits at least a subset of the set of results to the client device. (see col. 8, lines 32-35: endpoint nodes (client: network devices) analyze performance data)

**Regarding Claim 28**, Mayton discloses the network of claim 27, wherein the performance test scheduler communicates a second scheduling instruction associated with the performance test to the second device. (see col. 3, lines 16-22: scheduling information transmitted to endpoint nodes (first and second network devices))

**Regarding Claim 29**, Mayton discloses the network of claim 28, wherein the second device includes a test mode; and wherein the second device enters the test mode in response to receiving the second scheduling instruction. (see col. 3, lines 16-22: second network devices used in generation of performance data)

***Claim Rejection - 35 USC § 103***

5. Claims **10, 11, 14** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Mayton** in view of **Silva** (US Patent No. 6,360,268).

**Regarding Claim 10**, Mayton discloses a performance test scheduler between a first and second network device. (see Mayton col. 3, line 66 - col. 4, line 9) Mayton does not disclose the capability to determined whether the scheduling request is authorized. However, Silva discloses the method of claim 6, further comprising determining whether the scheduling request is authorized. (see Silva col. 7, lines 10-14: determine if user has permission to perform test)

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Mayton to determine whether a scheduling request was authorized as taught by Silva. One of ordinary skill in the art would be motivated to employ Silva in order to maximize efficiency for test scheduling in the generation of network communication performance metrics. (see Silva col. 1, lines 44-48: “ ... *maximize efficiency in the handling of test scheduling and test execution ... automate ... testing by using a server to manage test machines and to allocate test packages ... in accordance with a schedule ...* ”)

**Regarding Claim 11**, Mayton discloses a performance test scheduler between a first and second network device. Mayton does not disclose the capability to determined whether the scheduling request is not authorized. However, Silva discloses the method

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of claim 10, further comprising indicating that the scheduling request is not authorized. (see Silva col. 7, lines 10-14; col. 12, lines 38-47: determine if user has permission to perform test)

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Mayton to indicate whether a scheduling request was not authorized as taught by Silva. One of ordinary skill in the art would be motivated to employ Silva in order to maximize efficiency for test scheduling in the generation of network communication performance metrics. (see Silva col. 1, lines 44-48)

**Regarding Claim 14**, Mayton discloses a performance test scheduler between a first and second network device. Mayton does not disclose the capability to indicate a failed scheduling request. However, Silva discloses the method of claim 13, further comprising indicating a failed scheduling request. (see Silva col. 7, lines 10-14; col. 12, lines 38-47: determine if user has permission to perform test)

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Mayton to indicate a failed scheduling request as taught by Silva. One of ordinary skill in the art would be motivated to employ Silva in order to maximize efficiency for test scheduling in the generation of network communication performance metrics. (see Silva col. 1, lines 44-48)

6. Claims 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over **Mayton** in view of **Zhuo** (US Patent No. 20030036865).

**Regarding Claim 12**, Mayton discloses the method of claim 6, further comprising determining whether the scheduling request conflicts with a second scheduling request. (see Zhuo paragraph [0063], lines 14-27: test parameters for scheduling request in conflict)

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Mayton to determine test scheduling conflicts as taught by Zhuo. One of ordinary skill in the art would be motivated to employ Zhuo in order to optimize the efficient coordination for test scheduling in the generation of network communications performance metrics. (see Zhuo paragraph [0007], lines 9-11: “ ... *methods and systems for timely and efficient coordination and conduct of remote equipment tests would be desirable ...* ”)

### **Conclusion**

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kyung H. Shin whose telephone number is (571) 272-3920. The examiner can normally be reached on 7:30 am - 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David A. Wiley can be reached on (571) 272-3923. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.




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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Kyung H Shin  
Patent Examiner  
Art Unit 2143

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KHS  
March 24, 2006

  
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